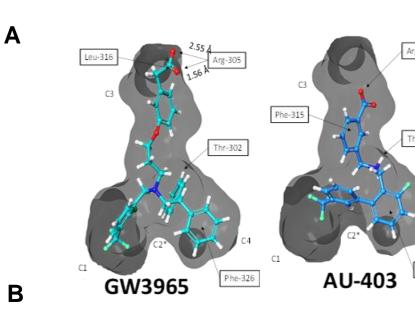


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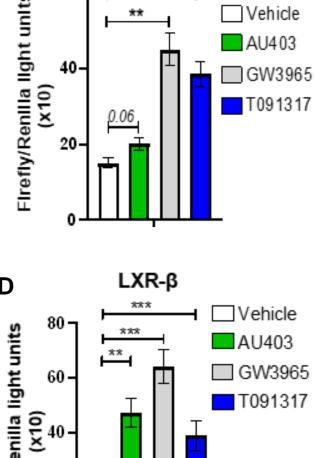
Introduction

- AU 403 was designed in silico to selectively interact with Liver-X-Receptor- β plus PPAR- α and PPAR δ . Liver X receptors (LXRs) are nuclear receptors that serve as lipidresponsive transcription factors and thus targeted for patients with **ApoE** mutations.
- However, current LXR agonist have *failed* at the clinical level due to association with hepatic steatosis and elevated cholesterol levels.
- Our in silico design avoids LXR α activation in the liver and increases OXPHOS in the liver and muscle.
- Our in silico design and recent findings of AU403 help increase brain cholesterol levels, while preventing hepatic steatosis and elevated cholesterol levels.

Selected Data:



Compound	Docking	Interaction Distance (Å)					
	score	AF2 ligand domain		Structural stabilization domain			
		Arg305	Phe315	Trp443	Leu435		
GW3965	-14.588	1.56	2.23	1.68	2.24		
AU403	-10.56	4.68	4.34	4.86	5.86		
BMS- 779788	-12.95	2.51	4.22	3.55	5.33		
BMS- 852927	-12.267	2.14	3.66	4.55	3.84		
T0901317	-9.48	-	2.50	-	-		



Design

Figure 1 Design of AU403. A) In Silico predicted images if AU403 interacting with select aminao acids in he ligand binding domain of B) Table displaying the docking scores based upon LXRα. computational analysis using Schrodinger software suit of various LXR compounds interacting with amino acids in the ligand binding domain of **LXRα.** LXR activity. (C & D) LXR Promoter activity (luciferase assay) using human LXRa or LXRB plus ABCA1-LXR recognition element values standardized to renilla; N>3 independent experiments in triplicate. Values average per group and analyzed using student t-test. *;p<0.05, **;p<0.001, ***;p<0.0001.

	Calculated values						Drug	PAN	PAMPA GI Permeability Rate (cm/s) (Calculated)			
Drug	MW	logP Neutral H2O	logP Citrate buffer (pH 4)	PBS	Solubility in DMSO (mg/ml)	Solubility in etoh (mg/ml)			Control High permeability	Control Medium permeability	Control Low permeabili	
AU403	403	.783	1.23	1.660	35	28	AU403ME	8.69x10 ⁻⁰⁶				
AU403 ME	403	0.615	1.900	3.394	38	32	AU403	1.43x10 ⁻⁰⁵	5.15x10 ⁻⁰⁶	2.21x10 ⁻⁰⁶	6.17x10 ⁻⁰⁷	
Fenofibrate	360.83	0.3788	3.7316	5	36	36						
GW0742	471.49	-1.405	.37889	5	24.23	48.5						

Figure 2 Calculated values for AU403. A) LogP of AU403 and AU403ME (methyl ester). B) Parallel Artificial Permeability Assays (PAMPA) for gastrointestinal (GI) permeability of AU403 compounds. All compounds were evaluated at same dose (500µM/dmso) based upon manufactures instructions (BioAssay Systems) Values are based upon cm/sec. Control unknowns were offered in kit for comparisons.

- Show proof of mechanism in multiple relevant in vivo models demonstrating good safety pharmacology prevent unwanted side effects of current LXRα agonists:
 - Hepatic steatosis • Elevated circulating cholesterol
- Neutropenia Robust biomarker clearance:
- health.

~	AU403	
В	Drug	Plasma Triglycerid (mg/dL)
	Vehicle	58.3± 12
	AU403 (5mg/kg)	62.7± 8
		lepatic st

Vehicle

teatosis. A) Liver H&E staining from vehicle vs AU403 (5mg/kg) daily, i.p. for 1 month. Micron bar represents 200X. B) No significant change in plasma triglycerides, total cholesterol and hepatic triglyceride levels between AU403 and control mice. B) Blood values are from mice B A, n=8 mice per group. Student t-test were used to compare averages between groups. C & D) Lipid accumulation (OilRedO stain) in HEPG2 cells treated with 10µM of LXR agonists (GW3965 and T0901317) or AU403 for 72 hours. Endpoint reading of OilRedO stain and is standardized to total protein content. Data are tabulated from mean ± SEM and shown as percentage change from control using Prism software and determined significant by student T-test; N=4 repeated studies, *p<0.05.

			_
Α	Г	PDK1	(α,δ)
	L	MCAD	(α,δ)
	datio	LCAD	(α,δ)
	oxic	TFAM	(α)
	acid oxidation	COX IV	(α,δ)
	ity a	TFAM	(α,δ)
	Fatty	ACO	(δ)
	_ L	PDK4	(α)
	acid Port	FABP	(α)
	atty	FATP	(δ)
	tr:	_	

o<0.0001.

AU-403 Novel selective LXRβ-PPAR selective agonist for Alzheimer's Disease

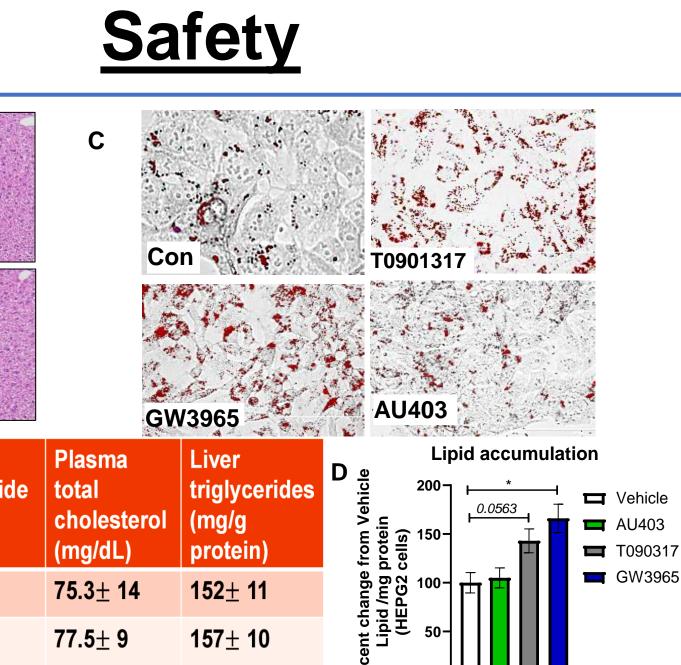
neurodegeneration.

Objective

- Amyloid β and P-Tau clearance.
- Improved AD-mediated memory impairment and neuronal

Results

- Ongoing research supports our *in silico* design that AU403 selectively activates LXR β and PPAR α/δ .
- Further that AU403 avoids LXRα and thus avoids development of hepatic steatosis and elevated circulating cholesterol levels.
- AU403 passes the BBB and positively effects biomarkers clearance,
- Improves synaptic plasticity and behavioral deficits.
- Most importantly AU403 shows no signs of toxicity observed with other LXR or PPAR agonist.



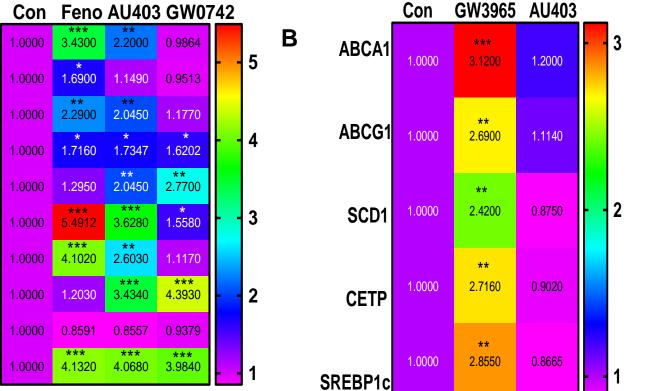
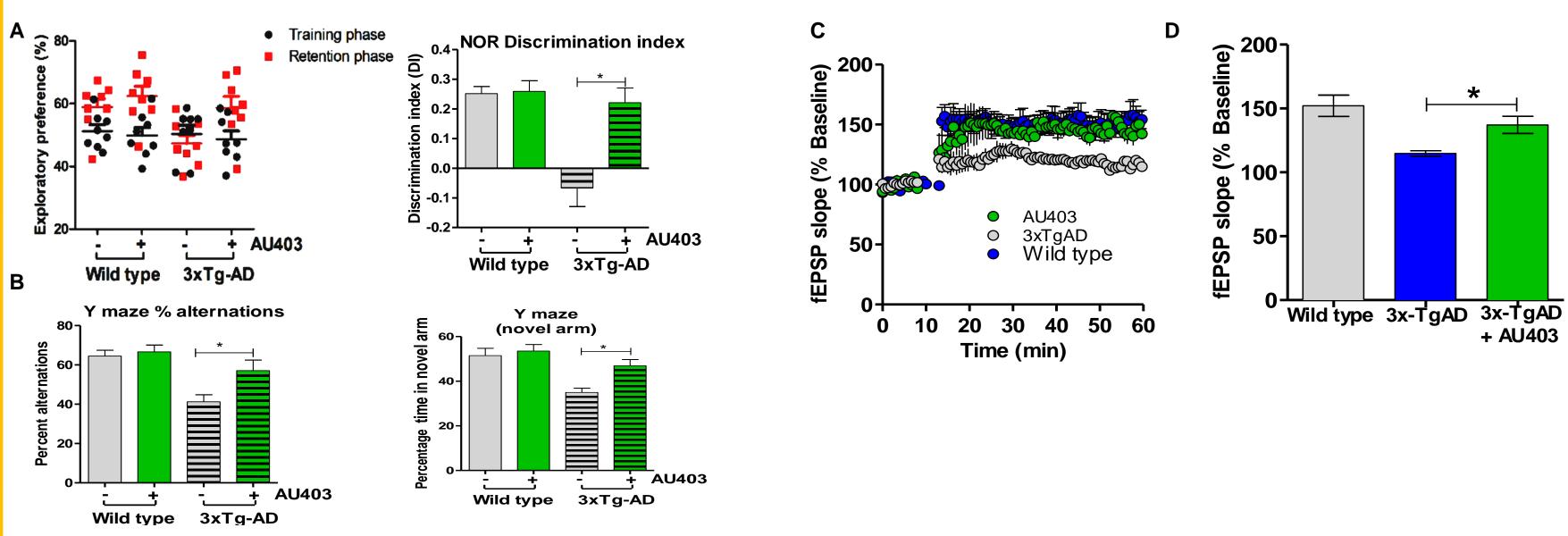
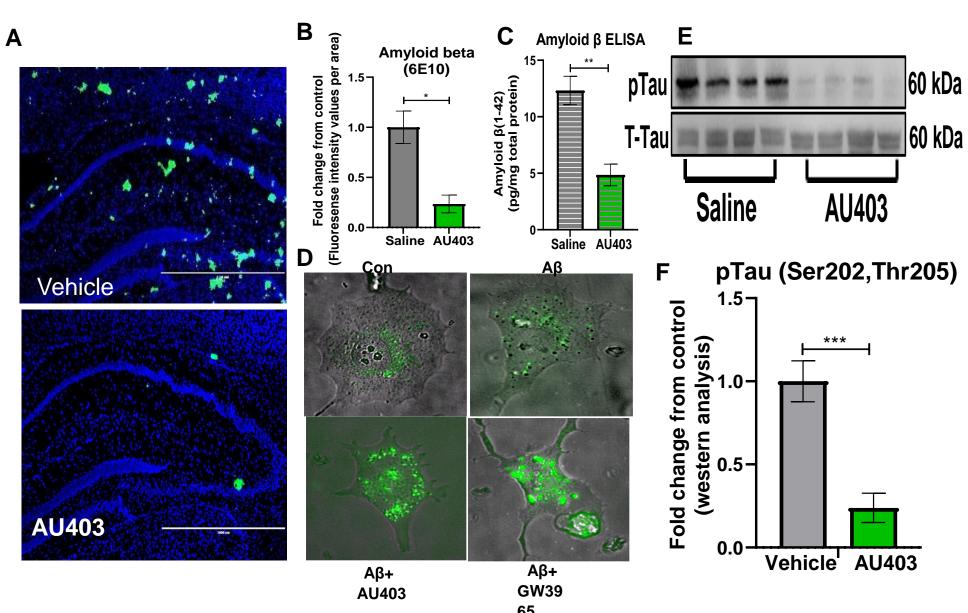


Figure 4 Hepatic gene expression. A) Heat Map showing gene analysis profile of **PPAR** α and δ targets in primary rat hepatocytes treated with AU403 B) LXR α targets by gene expression (qRT-pCR) from primary rat hepatocytes. Hepatocytes (A) were treated with either Feno (Fenofibrate), GW0742, AU403 or GW3965 (1µM) for 4 hours. Where fenofibrate; PPARα agonist, GW0742; PPARδ agonist, B) GW3965; LXR agonist and AU403. Averages from n=4 independent studies in triplicate and compares to control (saline) treated cells using student t-test. Values were based $\Delta\Delta$ ct values standardized to β -actin and displayed as fold change from control, from 4 independent experiments in triplicate. *; p<0.05; **; p<0.00, ***;





memory in 10 month old 3xTg-AD mice, as determined by novel object recognition (NOR) (B) and Y-maze. Values were based upon averages and S.E.M. and graphed % from control, n=8 mice per group; *p>0.05. C) LTP is improved in 3xTgAD mice (10 months in age) following administration of AU403 (1 month daily i.p., 5 mg/kg). Time courses of normalized mean D) fEPSP slopes showing reduced levels of LTP in slices from 3xTgAD mice treated with saline. In comparison, restored LTP levels in slices from 3xTg-AD mice treated with AU403. Indicates fEPSP in relation to stimulus intensity. Bar graphs show LTP levels measured 50–60 min after induction. Data are shown as mean ± SEM. Data analyses were determined significant by one way-ANOVA; N=8 per group, *p<0.05.



Innovation

AU403 helps mitigate ADRD, including APOe4 patients, develop neurodegeneration due to dysregulation of neuro-metabolism and neuro- cholesterol that induces aggregation of proteins, neuroinflammation and pathological hallmarks that lead to

Conclusions

- AU403 is the first in class to exhibit both LXRβ and PPAR α /δ activity.
- AU403 exhibits all of the desired characteristics of a drug at this stage of development.
- It is safe.
- Has good bioavailability.
- Is effective at low concentrations.
- Acts on target *in vivo*.
- AU403 will move to phase 1 and 2 SBIR and then Pre-IND
- Potential therapeutic for patients with APOe4 or APOe3/4 by improving the forms of cholesterol patterns in the brain.

AD Pathology, Behavior and Neuronal activity

Figure 5 AU403 improves behavior and synaptic plasticity.AU403 A) AU403(5mg/kg) 1 month (i.p.) improves working declarative

Figure 6 AU403 reduces Aβ and pTau in 10 month old **<u>3xTgAD mice</u>**. A) Immunostaining for A β (Green), from vehicle treated mice and AU403 treated 3XTgAD mice, 5mg/kg/daily for month, orally. Images were captured at 10X. B) values were obtained by measuring fluorescent units per area from 8 mice with 4 sections per mouse. C) Elisa for 1-42 soluble A β form was obtained the same as in panel A. Concentrations of Aß were based upon a standard curve from the ELISA kit. Values were based upon averages where n=8 mice per group; **p>0.001. D) Microglial uptake of $A\beta$: Primary rat astrocytes were treated with either AU403 (1µM) or GW3965 (1µM) for 48 hours. Media was collected and condensed using Centricon-10 filters. Primary rat microglia cells (imaged here) were then treated with condensed astrocyte media (AM) ± drugs for 24 hours. Primary rat microglial cells were treated with fluorescent (HiLyte Fluor 488)-labeled ß-Amyloid (1-42), cat# A-60479-01 at 500nM concentration for 2. Images were captured using a Nikon Ti inverted fluorescence microscope. **E** & **F**) Western analysis pTau (Ser202/Thr205) from 10 month old female 3xTgAD mice treated similar to mice in A.

